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September 25, 2001

Attorney Docket No.: 06618-201002

Box Patent Application
Commissioner for Patents
Washington, DC 20231

Presented for filing is a new divisional patent application of:

Applicant: YU WANG

Title: DEVICES BASED ON SURFACE PLASMON INTERFERENCE
FILTERS

Enclosed are the following papers, including those required to receive a filing date
under 37 CFR §1.53(b):


BOSTON
DALLAS
DELAWARE
NEW YORK
SAN DIEGO
SILICON VALLEY
TWIN CITIES
WASHINGTON, DC

	<u>Pages</u>
Specification	48
Claims	8
Abstract	1
Declaration	2
Drawing(s)	17

Enclosures:

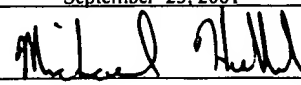
- Information Disclosure Statement: Applicants call attention to documents listed on attached form PTO-892, 1 page, listing documents cited in the parent application(s). Per 37 CFR 1.98(d), copies of those documents are not provided. Please confirm that these have been considered in this application by returning a copy of the Form PTO-1449 with the examiner's initials.

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No. EL 716812301 US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit September 25, 2001

Signature 

Michael Hubbard
Typed or Printed Name of Person Signing Certificate

Commissioner for Patents
September 25, 2001
Page 2

- Rule 63 declaration, copy from a previous application under rule 63(d) for continuation or divisional only.
- Postcard.

The prior application is assigned of record to California Institute of Technology by virtue of an assignment submitted to the Patent and Trademark Office and recorded on November 4, 1998 at 9595/0516.

This application is a divisional (and claims the benefit of priority under 35 USC 120) of U.S. patent application serial no. 09/144,519 filed August 31, 1998 which is a continuation-in-part of U.S. patent application serial no. 08/949,151, filed October 10, 1997, now U.S. Patent No. 5,986,808, which claims the benefit of U.S. provisional application serial nos. 60/056,050, filed September 2, 1997; 60/059,247, filed September 18, 1997; and 60/060,733, filed October 1, 1997.

This application is entitled to small entity status, established in a prior application.

This application presents 16 claims, 4 of which are independent.

Basic filing fee	\$355
Total claims in excess of 20 times \$9	\$0
Independent claims in excess of 3 times \$40	\$40
Fee for multiple dependent claims	\$0
Total filing fee:	\$395

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (858) 678-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

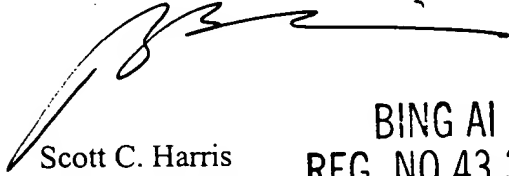
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Commissioner for Patents
September 25, 2001
Page 3

Please send all correspondence to:

SCOTT C. HARRIS
Fish & Richardson P.C.
4350 La Jolla Village Drive, Suite 500
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Respectfully submitted,



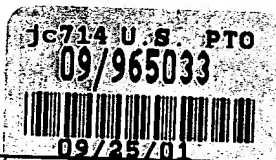
Scott C. Harris
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REG. NO 43,312

PATENT

ATTORNEY DOCKET NO.: 06618 201062

The Patent and Trademark Office date stamp sets forth the receipt date (or both the receipt date and the Serial Number) of a patent application identified as follows:

Applicant: Yu WangTitle: Devices Based on Surface Plasmon Interference Filter☒ Transmittal Letter☒ Appln 48 Pages of Spec. 8 Pages of Claims 16 Total Claims 1 Pages of Abstract☐ Rule 62 Filing Request (FWC) Pages☐ Assignment☐ Deposit Account Order Form (2 Copies)☒ Check \$ 395 -☐ Small Entity Statement☒ Drawings 17 Sheets Formal Sheets Informal☒ Combined Declaration and Power of attorney ☒ signed unsigned (copy)☐ Preliminary Amendment Pages☒ Information Disclosure Statement☒ PTO 1449 Form 2 Pages PTO 892 1p.☐ Prior Art References-Number of References ☐ Priority Document Pages☐ English Translation☐ Other 

Atty/Sec

Initials SLH

Client/

Matter Name

EL 716812301 US

Date

9/25/01

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FISH & RICHARDSON P.C.
LA JOLLA, CA

PATENT
ATTORNEY DOCKET NO. 06618 201002

The Patent and Trademark Office date stamp sets forth the receipt date (or both the receipt date and the Serial Number) of a patent application identified as follows:

Applicant: Yu Wang
Title: Device Based on Surface Plasmon Interference

☒ Transmitted Letter
☒ Appln. 48 Pages of Spec. 8 Pages of Claims 16 Total Claims 1 Pages of Abstract
☐ Rule 62 Filing Request (FRC) Pages
☐ Assignment
☐ Deposit Account Order Form (2 Copies)
☒ Check \$ 395
☐ Small Entity Statement
☒ Drawings 17 Sheets Formal Sheets Informal
☒ Combined Declaration and Power of attorney X signed unsigned (copy)
☐ Preliminary Amendment Pages
☒ Information Disclosure Statement
☒ PTO 1449 Form 8 Pages 170 892 1P
☐ Prior Art References-Number of References
☐ Priority Document Pages
☐ English Translation
☐ Other

Atty/Sec SLH Client/ Matter Name EL 716812 301 US Date 9/25/01

FR FISH & RICHARDSON P.C.

225 Franklin Street
Boston, Massachusetts
02110-2804

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PAY Three hundred ninety five dollars and 00/100 DOLLARS

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DATE 9/25/01 AMOUNT 395.00.

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BY [Signature]

S2-153/112

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